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## Vermiculite, Respiratory Disease and Asbestos Exposure in Libby, Montana: Update of a Cohort Mortality Study

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**Vermiculite, Respiratory Disease and Asbestos Exposure in Libby, Montana:**

**Update of a Cohort Mortality Study**

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*Running title:* Asbestos-Related Deaths, Libby Vermiculite Workers

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*Abbreviations*

|           |   |
|-----------|---|
| CI        | Confidence interval   |
| fibers/cc | Fibers per cubic centimeter of air                                |
| ICD-9     | International Classification of Disease, 9 <sup>th</sup> revision |
| LTAS      | NIOSH Life Table Analysis System                                  |
| NDI       | National Death Index  |
| NIOSH     | National Institute for Occupational Safety and Health (U.S.)      |
| OSHA      | Occupational Safety and Health Administration (U.S.)              |
| PCM       | Phase contrast microscopy   |
| RR        | Relative risk   |
| SMR       | Standardized mortality ratio                                      |
| SRR       | Standardized rate ratio   |
| µm        | Micron  |

*The findings and conclusions in this report are those of the author and do not necessarily represent the views of the National Institute for Occupational Safety and Health.*

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## **Abstract**

*Background.* Vermiculite from the mine near Libby, Montana, is contaminated with tremolite asbestos and other amphibole fibers (winchite and richterite). Asbestos-contaminated Libby vermiculite was used in loose-fill attic insulation that remains in millions of homes in the U.S., Canada, and other countries.

*Objective.* This report describes asbestos-related occupational respiratory disease mortality among workers who mined, milled, and processed the Libby vermiculite.

*Methods.* This historical cohort mortality study uses life table analysis methods to compare the age-adjusted mortality experience through 2001 of 1,672 Libby workers to that of white men in the U.S. population.

*Results.* Libby workers were significantly more likely to die from asbestosis (standardized mortality ratio [SMR] 165.8; 95% confidence interval [CI] 103.9, 251.1), lung cancer (SMR 1.7; 95% CI 1.4, 2.1), cancer of the pleura (SMR 23.3; 95% CI 6.3, 59.5), and mesothelioma. Mortality from asbestosis and lung cancer increased with increasing duration and cumulative exposure to airborne tremolite asbestos and other amphibole fibers.

*Conclusions.* The observed dose-related increases in asbestosis and lung cancer mortality highlight the need for better understanding and control of exposures that may occur when homeowners or construction workers (including plumbers, cable installers, electricians, telephone repair personnel, and insulators) disturb loose-fill attic insulation made with asbestos-contaminated vermiculite from Libby, Montana.

## **Introduction**

Vermiculite is a naturally occurring mineral mined in the United States, Brazil, Argentina, Mexico, South Africa, Zimbabwe, Kenya, Uganda, Egypt, India, Russia, China, Japan, and Australia. Mined vermiculite ore is milled to produce vermiculite concentrate of various sizes and grades. When rapidly heated, vermiculite concentrate expands to form small, light-weight, accordion-shaped granules. Vermiculite is used in construction products (loose-fill attic insulation, acoustic finishes, spray-on fireproofing, gypsum plaster, concrete mixes for swimming pools), consumer products (packing materials, adsorbent in laboratories), agricultural and horticultural products (animal feed, bulking agent, fertilizers, pesticides, seed encapsulant, hydroponics, potting mixes, soil conditioners), and in industrial products (brake shoes and pads, drilling muds, furnaces, filters, insulator blocks, paints, and sealants) (U.S. EPA 2006).

Vermiculite from the mine that operated near Libby, Montana from the early 1920s until 1990 was contaminated with asbestos and other fibrous amphibole minerals, crystalline silica, and talc. The United States Geological Survey (USGS) has characterized the asbestiform amphiboles contaminating the Libby vermiculite as approximately 84% winchite, 11% richterite, and 6% tremolite (Meeker et al. 2003). The raw Libby ore was estimated to be 21-26 percent asbestos by weight; the mill feed 3.5-6.4 percent asbestos; airborne dust in the dry mill 40 percent asbestos (Wake 1962); and the vermiculite concentrate shipped to processing plants worldwide 0.3-7.0 percent asbestos before expansion (Amandus et al. 1987; Atkinson et al. 1981).

Previous studies of Libby workers document increased risk of lung cancer and nonmalignant respiratory disease among highly exposed workers with at least one year tenure (Amandus and Wheeler 1987; McDonald et al. 1986, 2004). Reports of respiratory disease mortality among community residents and household contacts of Libby vermiculite workers suggest increased risk

from transient exposure, or ambient community exposure (ATSDR 2002; Schneider 1999). Cross-sectional radiographic screening conducted in Libby for the U.S. Agency for Toxic Substances and Disease Registry (ATSDR) revealed that 6.7% of community residents with no occupational or familial exposure have radiographic evidence of asbestos-related disease (Peipins et al. 2003). These findings suggest that risk from asbestos-contaminated vermiculite may not be limited to those with high-intensity occupational exposure.

This report expands the previously studied occupational cohort to include all white men hired at Libby from September 1935 through December 1981. The intent here is to describe the mortality experience of workers exposed to Libby amphibole fibers (tremolite asbestos, winchite, richterite) over the full range of exposure and employment duration. Occupational respiratory disease mortality among Libby workers is compared to that expected based on the mortality experience of the U.S. population. Standardized mortality ratios (SMRs) and standardized rate ratios (SRRs) for asbestosis, lung cancer, and all nonmalignant respiratory diseases are presented.

## **Materials and Methods**

*Study subjects.* Study subjects are vermiculite miners, millers, and processors. Workers also may have been assigned jobs in the screening plant, railroad loading dock, expansion plants, or an office located in the town of Libby (several miles from the mine). The cohort was enumerated in May 1982, and study subjects were followed through December 2001. The design allowed a minimum 20 years of follow-up since first exposure, with more than 65 years of follow-up for the earliest hired workers. Demographic and work history data were abstracted from company personnel and pay records. A database created by the U.S. National Institute for



Occupational Safety and Health (NIOSH) in the 1980s contained demographic data, work history, and vital status at the end of 1981 for 1,881 workers. The data were validated against company records on microfilm at NIOSH, and work history data were re-abstracted. One person was deleted from the cohort because company records stated that he was hired, but never worked. Nine workers with Social Security numbers listed in company records were excluded because demographic and work history data were not available, leaving 1,871 potential study subjects.

*Vital status follow-up.* The NIOSH Institutional Review Board (IRB) approved the research protocol; the study complied with all applicable U.S. requirements and regulations for studies involving human subjects. Vital status follow-up through 2001 used the National Death Index (NDI-Plus), the Social Security Administration, the Internet (Ancestry.com 2006; RootsWeb.com 2006, and electronic links to state death records), and a tracing service. Workers known to be alive on or after January 1, 1979 (the date NDI began tracking deaths nationwide), but not found in the NDI, were assumed to be alive at the study end date of December 31, 2001. Vital status follow-up was completed for 97.8% of the cohort (n=1830). Nearly 47% of these workers (n=877) had died by December 31, 2001.

Cause of death was determined from death certificates for 97% of those known to be deceased, and coded to the International Classification of Disease (ICD) codes using the rubrics of the ICD revision in effect at the time of death. Deaths prior to 1979 were coded by a single National Center for Health Statistics-trained nosologist; for the period 1979-2001, ICD codes were obtained from the NDI.

*Exposure assessment.* The mining, milling, and processing operations at Libby, conditions of exposure, and job-specific estimates of exposure intensity have been thoroughly described in

several previous reports (Amandus and Wheeler 1987; Amandus et al. 1987, 1988; McDonald et al. 1986). Briefly, miners extracted vermiculite ore from an open-pit mine. The ore was processed in a dry mill (1935-1976) and/or two wet mills (1955-1990) that operated on Vermiculite Mountain. The resulting concentrate was shipped by railroad to processing plants where the vermiculite was expanded for use in loose-fill attic insulation. Before 1975, exposures in the mine ranged from 9-23 fibers per cubic centimeter (fibers/cc) of air for drillers; exposures in other mining jobs were estimated to be less than two fibers/cc (Amandus et al. 1987). Early fiber exposures in the dry mill were as high as 182 fibers/cc during sweeping operations; by 1964, exposures in the mill had been reduced by 80 percent. Amandus et al. (1987) estimated that by 1972 exposures in all work areas were less than one fiber/cc as an 8-hour time-weighted average (TWA), compared with today's U.S. Occupational Safety and Health Administration (OSHA) asbestos standard of 0.1 fiber/cc.

The job-exposure matrix developed for this study was based on that used in the earlier NIOSH study (Amandus and Wheeler 1987), with some important exceptions. The earlier study assigned workers with "common laborer" job assignments, and some workers with unknown job assignment, the relatively low exposure estimated for the mill yard. In the current analysis, these workers were assigned the average estimated exposure intensity for all unskilled jobs during the relevant calendar time period. This resulted in higher estimates of cumulative exposure, with likely attenuation of the effect estimators. In addition, re-abstracting work histories for the current study identified several job assignments not mentioned in the earlier publications. Exposure estimates for the additional job/calendar time period combinations were extrapolated based on professional experience and review of exposure records from earlier studies of Libby workers (Amandus and Wheeler 1987; Amandus et al. 1987, 1988; McDonald et al. 1986).

The exposure index available for this and previous studies of Libby workers is based on fiber count data obtained using optical phase contrast microscopy (PCM). PCM measures fibers longer than 5 microns ( $\mu\text{m}$ ) and wider than  $0.25 \mu\text{m}$ —i.e., the fiber size regulated under the OSHA standard. Fiber count data obtained using PCM does not distinguish between the various amphiboles in the Libby vermiculite. Thus, fiber count estimates used in the exposure assessment include not only the regulated tremolite asbestos fibers, but also the unregulated asbestiform amphibole fibers (winchite and richterite). Recall that Meeker et al. (2003) characterized the Libby amphibole as 6% tremolite. If the observed health effects are explained by tremolite asbestos alone, then exposure has been considerably overestimated, and the effect of each fiber/cc-year increment in exposure has been substantially underestimated (McDonald et al. 2004).

*Treatment of missing values.* Date of termination was unknown for 58 of 640 workers (9%) who left employment before September 1953. These workers were assumed to have worked for 384 days, based on the mean duration of employment among all workers with known termination date before September 1953. U.S. Census Bureau data (2004) indicate that 95% of the local population identify themselves as white. As workers at this facility were known to be primarily Caucasian, 935 workers with race unknown were assumed to be white (NIOSH 2001). Similarly, as 96% of the workforce was male, seven workers with sex unknown were assumed to be male after review of names.

*Statistical analysis.* Data were managed using ACCESS 2000 and SAS Version 8.0 (Microsoft Corporation, Redmond WA; SAS Institute Inc., Cary NC). Descriptive analysis used SAS Version 9.1 (SAS Institute Inc.).

As 95% of study subjects were white men, the analytic cohort was limited to 1,672 white males (excluding 95 men who died or were lost to follow-up before 1960, the first year comparison rates for asbestosis are available in the NIOSH Life Table Analysis System (LTAS) software). Using the LTAS software (NIOSH 2001; Steenland et al. 1990, 1998), SMRs were calculated to determine if study subjects experienced greater mortality from specific causes than was expected compared to the U.S. population experience. SMRs were adjusted for age at risk and calendar year of follow-up (categorized into five-year age and calendar time groups). SRRs evaluated exposure-response across increasing categories of cumulative exposure and duration of employment, with workers in the lowest exposure group serving as the baseline for comparison. A formal test for a linear trend in the slope of the SRRs evaluated the  $H_0: \beta_1=0$  against the alternative hypothesis that duration or cumulative exposure predicts mortality. Ninety-five percent confidence limits were calculated, and the hypothesis was rejected in favor of the alternative at the  $p<0.05$  level if the 95% CI for the slope did not include zero.

Cumulative exposure data were categorized to achieve an approximately equal number of cases in each exposure category, a method previously found to be most efficient (Richardson and Loomis 2004; Sullivan et al. 1996), while maintaining sufficient person-years in each exposure category to obtain valid estimators. In some analyses, the lowest categorical cut-point was set at 4.5 fibers/cc-years—i.e., a worker's cumulative lifetime exposure if exposed to asbestos fibers at the current OSHA standard of 0.1 fibers/cc over a 45-year working life. Exposure duration was categorized to facilitate meaningful interpretation (i.e., < one year, 1-9.9 years,  $\geq 10$  years). The lag period was chosen to avoid excluding cases with disease assumed to be work-related. For the outcomes of interest, an exposure lag of 15 years was found to present a clear picture of exposure-response.

Person-years at risk and observed deaths were accrued from the date comparison rates were available (January 1, 1960), or the date of first exposure (if later) until the time that each worker died, was lost to follow-up, or until the end of the study (December 31, 2001). Ten workers lost to follow-up were considered to be alive, but person-years of observation were truncated on the date the worker was last observed alive (date of termination, or last date known to be alive in earlier vital status follow-up). One worker was excluded from the SMR analysis because he died in Canada; another was excluded because his date of death was unknown. Twenty-four workers known to have died, but with cause of death unknown, were added to the residual cause code (NIOSH 2001).

Analysis presented in this paper is focused on occupational respiratory conditions potentially related to asbestos exposure: lung cancer, asbestosis, and other nonmalignant respiratory disease. Mesothelioma was not coded as a distinct cause of death under ICD coding rubrics until 1999, so the SMR for mesothelioma is based on only three years of data (1999-2001).

## **Results**

Demographic and exposure characteristics of study subjects by selected causes of death are given in Table 1. Among the 752 white men with known cause of death, 13.2% died from lung cancer, 2.0% from mesothelioma, and 5.3% with asbestosis. The average age at hire among study subjects was 29.7 years (range 15.4-69.8 years). Mean duration of employment for all 1,672 study subjects was 4.0 years, and ranged from one day to 43.1 years. In contrast, the mean duration of employment was 7.1 years among workers who died with cancer of the lung or bronchus, 10.8 years among those with mesothelioma, and 14.6 years among those with a diagnosis of asbestosis listed on their death certificate. Similarly, median cumulative exposure

was estimated at 8.7 fibers/cc-years among all workers and 21.0 fibers/cc-years among those dying through 2001, but 28.2, 145.1, and 228.4 fibers/cc-years among those dying with lung cancer, mesothelioma, or asbestosis, respectively. On average, 34.8 years had passed between hire and the study end date (or death); the maximum time since hire was 66.8 years.

Previous studies of this cohort included only workers employed for a year or more. Comparison of demographic characteristics between those who worked less than a year and those who worked longer (not shown in Table) suggests that, initially, there was little difference between these groups, except with respect to age at hire. Perhaps because a number of students worked summers at Libby, those who left employment after less than a year were younger at hire than those who worked longer (28.9 vs. 30.4; 2-sided  $p=0.0016$ ). There were, however, substantial differences between short- and long-term workers with respect to occupational exposure. Short-term workers were employed for an average of 3 months vs. 7.7 years among those who worked a year or more, and experienced lower cumulative exposure (median 2.6 vs. 43.4 fibers/cc-years).

Allowing for a 15-year exposure lag, asbestos-exposed Libby vermiculite workers were 24% more likely to have died by the end of 2001 compared with white men of the same 5-year age group in the U.S. population (SMR 1.2; 95% CI 1.1, 1.3), and were 37% more likely to have died from cancer (SMR 1.4; 95% CI 1.2, 1.6). Libby workers also experienced significant excess mortality from cancer of the trachea, bronchus, and lung (SMR 1.7; 95% CI 1.4, 2.1) and nonmalignant respiratory disease (SMR 2.4; 95% CI 2.0, 2.9) after allowing for a 15-year exposure lag (Table 2).

Of the 15 mesothelioma deaths (1979-2001) identified by reviewing death certificates (Table 1), one worker died from peritoneal mesothelioma, and 14 died from pleural (or unspecified)

mesothelioma. The SMR for mesothelioma (Table 2), based on the two deaths occurring 1999-2001, was 15.1 (95% CI 1.8, 54.4). Excess mortality was also observed for several conditions to which ICD coding rubrics assigned mesothelioma deaths prior to 1999. For example, there was a significant excess in mortality from cancer of the pleura (SMR 23.3; 95% CI 6.3, 59.5), and in the LTAS minor category described as “malignancy of other and unspecified sites” (SMR 2.4; 95% CI 1.6, 3.6). Similarly, there were 4 deaths from connective tissue cancer between 1940 and 2001, resulting in a statistically significant SMR of 4.7 (95% CI 1.3, 12.0, no lag). Lagged estimators for connective tissue cancer are not presented, as small numbers likely result in unstable estimators.

Libby workers experienced significant excess mortality from asbestosis and other nonmalignant respiratory diseases (Table 2). After allowing for a 15-year exposure lag, the asbestosis SMR was 165.8 (95% CI 103.9, 251.1). Mortality ratios were elevated for chronic obstructive pulmonary disease (COPD) (SMR 2.2; 95% CI 1.7, 2.9) and the LTAS minor category described as “other respiratory diseases,” with a SMR of 2.7 (95% CI 1.6, 4.2).

Although this report focuses on occupational respiratory disease, an *a priori* goal of the study was to evaluate the cohort’s mortality from other potentially asbestos-related conditions, such as circulatory disease and digestive cancer. Libby workers experienced no overall excess in heart disease (SMR 0.9; 95% CI 0.8, 1.1), but did experience excess mortality from circulatory diseases involving the arteries, veins, and lymphatic vessels (SMR 1.8; 95% CI 1.2, 2.6; 29 observed vs. 16 expected; ICD-9 415-417, 440-459). Although not statistically significant, Libby workers employed for a year or more experienced excess mortality from cancer of the liver, gallbladder, or bile ducts (SMR 1.6; 95% CI 0.3, 4.6; 3 observed vs. 1.89 expected; ICD-9 155-

156) and pancreatic cancer (SMR 1.8; 95% CI 0.7, 3.8; 7 observed vs. 3.83 expected; ICD-9 157).

*Effect of cumulative exposure.* Table 3 evaluates exposure-response relationships for asbestos-related occupational respiratory disease mortality. The SMR for lung cancer rose from 1.5 (95% CI 0.9, 2.3) among workers with less than 4.5 fibers/cc-years cumulative exposure to 1.9 (95% CI 1.2, 2.9) among workers exposed to at least 100 fibers/cc-years (allowing for a 15-year exposure lag).

There was significant excess mortality from nonmalignant respiratory disease even among workers with less than 4.5 fibers/cc-years cumulative exposure (SMR 1.8, 95% CI 1.1, 2.8). The SMR for nonmalignant respiratory disease rose to 4.8 (95% CI 3.1, 7.3) among workers exposed to more than 300 fibers/cc-years (Table 3).

Cumulative exposure was a significant predictor of nonmalignant respiratory disease mortality even among those who worked less than a year, with the SMR rising from 1.9 (95% CI 1.1, 3.2) among those with less than 3.5 fibers/cc-years exposure to 2.6 (95% CI 1.5, 4.3) among short-term workers exposed to 15 fibers/cc-years or more (not shown). The test for a linear trend in the SRRs was statistically significant with  $p < 0.001$ .

Although 40 white male workers died with asbestosis listed on their death certificates (Table 1), SMR analysis is based on the 22 workers with asbestosis listed as underlying cause of death (currently, the LTAS software does not include multiple cause comparison rates for asbestosis). SMRs for asbestosis increased with increasing cumulative exposure (Table 3). The SMR rose from 37.3 (approximate 95% CI 7.5, 122.3) among workers with less than 50 fibers/cc-years exposure, to 749.1 (95% CI 373.0, 1,367.8) among those with 250 or more fibers/cc-years cumulative exposure, after allowing for a 15-year exposure lag.



Table 3 also provides standardized rate ratios (SRR) for lung cancer, nonmalignant respiratory disease, and asbestosis over increasing categories of cumulative exposure. For each outcome, linear trend tests were statistically significant at the  $p < 0.01$  level.

*Effect of exposure duration.* Evaluating the effect of employment duration, those working less than a year experienced a significant excess in lung cancer (SMR 1.6; 95% CI 1.1, 2.1), with the SMR rising to 2.5 (95% CI 1.4, 4.3) among those working for ten years or more (Table 4). The SMR for nonmalignant respiratory disease was 2.1 (95% CI 1.6, 2.8) among those who worked less than a year, and rose to 3.6 (95% CI 2.2, 5.7) among those employed 10 years or more. As there was only one death attributed to asbestosis among those working less than a year, 15 months was used as the cut-point for the lowest category of exposure duration (providing a more stable estimator for comparison in the SRR analysis). Those working less than 15 months were 38.2 (approximate 95% CI 7.7, 125.1) times more likely than expected to die from asbestosis; among those employed more than 10 years, the SMR was 628.6 (95% CI 301.1, 1,185.1). The SRRs for lung cancer, nonmalignant respiratory disease, and asbestosis increased across increasing categories of exposure duration and, for each outcome, the test for a linear trend in the slope of the SRRs was statistically significant at the  $p < 0.05$  level (Table 4).

## **Discussion**

Libby vermiculite workers experienced significant excess deaths from all causes, all cancers, lung cancer, cancer of the pleura, and asbestosis. Mortality from asbestosis and lung cancer increased with increasing cumulative exposure to airborne asbestos and other amphibole fibers.

Results reported here are consistent with findings of previous mortality studies of workers from this cohort (Amandus and Wheeler 1987; McDonald et al. 1986, 2004). Amandus and

Wheeler (1987) studied the mortality experience through 1981 of 575 white men with mean exposure estimated at 200 fibers/cc-years, who were hired at Libby before 1970 and worked at least one year. These researchers reported (Table 5) an overall SMR of 2.2 (95% CI 1.4, 3.4) for lung cancer (ICD-8 162-163), with a SMR of 6.7 observed among those with 400 or more fiber-years cumulative exposure (not shown). However, the study did not have sufficient power to adequately assess lung cancer risk at lower exposure levels. The overall SMR for nonmalignant respiratory disease (ICD-8 460-519) was 2.4 (95% CI: 1.5, 3.8), but the small number of deaths through 1981 did not support clear conclusions about the exposure-response relationship.

Under contract with the company that operated the mine and mill from 1963-1990, McDonald et al. (1986) evaluated the mortality experience through mid-1983 of 406 white men with a mean cumulative exposure of 144.6 fibers/cc-years and at least one year tenure who were hired before 1963. McDonald et al. (2004) later independently reevaluated the mortality experience of these workers with follow-up through 1998. Overall SMRs of 2.4 (95% CI 1.7, 3.2) for respiratory cancer (44 deaths; ICD-9 160-165) and 3.1 (95% CI 2.3, 4.1) for nonmalignant respiratory disease (51 deaths; ICD-9 010-108, 460-519) were reported (Table 5). Results of Poisson regression analysis by McDonald et al. (2004) found an exposure-response relationship between cumulative fiber exposure and respiratory cancer (highest quartile of cumulative exposure relative risk (RR) 3.2; 95% CI 1.2, 8.8), nonmalignant respiratory disease (highest quartile RR 3.1; 95% CI 1.2, 8.4), and mesothelioma (highest quartile RR 3.4; 95% CI 0.4, 33.2). Asbestosis mortality *per se* was not described.

Summary SMRs for lung cancer and nonmalignant respiratory disease reported here are somewhat lower than those reported by McDonald et al. (2004), partially because the current analysis used no tenure exclusion. More importantly, previous studies of Libby workers

excluded those hired after the 1960s; this report includes workers hired through 1981—i.e., workers whose employment began after exposure intensity had been significantly reduced (Amandus et al. 1987). To assist in comparison between studies, summary SMRs for the sub-cohort of 864 white men (hired 1935-1981) who worked at least a year are included in Table 5.

However, this analysis reveals substantial disease even among workers employed less than a year. Short-term workers were 1.6 (95% CI 1.1, 2.1) times more likely to die from lung cancer and 2.1 (95% CI 1.6, 2.8) times more likely to die from nonmalignant respiratory disease than the comparable U.S. population (Table 4). Further, even among workers employed less than a year, increasing cumulative fiber exposure was observed to predict nonmalignant respiratory disease mortality (not shown). Thus, including the mortality experience of workers employed less than a year provides a more realistic picture of the true effect of working at Libby.

SMRs observed here for asbestos-exposed vermiculite workers are similar to those reported in other studies of asbestos-exposed workers. Goodman et al. (1999) conducted a meta-analysis of 69 asbestos-exposed cohorts (including a subset of the Libby cohort), and found a meta-standardized mortality ratio for lung cancer of 1.6 (95% CI 1.6, 1.7) after allowing for 10 years cancer latency. Honda et al. (2002) evaluated mortality among tremolite-exposed talc miners and millers, reporting an SMR of 2.2 (95% CI 1.5, 3.2) for nonmalignant respiratory disease, similar to the SMR of 2.4 (95% CI 2.0, 2.9) reported here among tremolite-exposed vermiculite workers.

The literature does not provide SMRs for asbestosis mortality among other tremolite asbestos-exposed cohorts. Among Libby workers, the SMRs for asbestosis were substantially higher than expected based on the U.S. population experience. The SMRs reported here may be inflated—i.e., the small number of expected deaths from asbestosis ( $\leq 0.13$ ) may have resulted in unstable

estimators (Checkoway et al. 2004). On the other hand, the extremely high tremolite asbestos exposure (Amandus et al. 1987) these workers experienced (generally without respiratory protection), or concomitant exposure to other fibrous amphiboles, may have caused more disease than usually observed with less intense fiber exposure.

Clearance of asbestos fibers (and fiber toxicity) is believed to be a function of fiber size. Phagocytosis is limited by the size of human macrophages (generally 14-21  $\mu\text{m}$ ) (ATSDR 2003). Using standard asbestos fiber-counting methods (i.e., optical phase contrast microscopy (PCM) considering only fibers 5  $\mu\text{m}$  or longer), 36% of fibers from Vermiculite Mountain were longer than 20  $\mu\text{m}$ , and 10% longer than 40  $\mu\text{m}$  (Amandus et al. 1987). Fibers longer than 20  $\mu\text{m}$  have been associated with asbestosis. These long fibers, longer than the human macrophage, result in incomplete phagocytosis, perhaps partially explaining the unusually high mortality from asbestosis observed among the Libby workers.

Further, using transmission electron microscopy, around 65% of airborne fibers collected at Libby were found to be smaller than 5  $\mu\text{m}$  (ATSDR 2003). Animal and in vitro studies suggest that fibers smaller than 5  $\mu\text{m}$  may also play a role in fibrosis, particularly under conditions of overload. Intense exposures in early years and some jobs (mill sweeper, railroad car cleaner) may have resulted in overload, limiting clearance even of small fibers (ATSDR 2003). Thus, the high SMRs for asbestosis observed among Libby workers may be a function of fiber length and/or bio-persistence.

The long-term bio-persistence of the Libby fibers is supported by the work of Lockey et al. (Lockey et al. 1984; Rohs et al. 2005). In 1980, 4.4% of a cohort of 513 Ohio manufacturing workers exposed to expanded vermiculite and/or concentrate from Libby was found to have pleural changes documented on chest radiograph (Lockey et al. 1984). Rohs et al. (2005)

reevaluated 236 of these workers more than 20 years after the plant stopped using the asbestos-contaminated Libby vermiculite, and documented that 26% had pleural changes on chest radiograph. Further, an exposure-response effect was observed, with the proportion of workers with pleural changes rising from 5% among those in the lowest exposure group to 44% among workers with the heaviest exposure.

There has been no published human or animal (and very little *in vitro*) research on the potential health effects of winchite and richterite (Cleveland 1984; Collan et al. 1986; Holopainen et al. 1986), two unregulated amphibole minerals in the same mineralogic series as tremolite. However, another unregulated amphibole with similar elemental composition and structure has been linked with asbestos-related mortality—i.e., mesothelioma in a community exposed to fluoroedenite (Comba et al. 2003). While speculative, it is possible that Libby workers experienced effects from two or more of the amphibole minerals at Vermiculite Mountain (tremolite, winchite, richterite), or from an amphibole and quartz or mica, and that these joint effects may have contributed to the extreme asbestosis SMRs. Quartz is known to cause silicosis (another pneumoconiosis), although only one worker in this cohort died from silicosis. Vermiculite belongs to the mica family; mica has previously been linked with pneumoconiosis (Skulberg et al. 1985; Venter et al. 2004; Zinman et al. 2002).

It is also possible that pneumoconiosis resulting from exposure to these other minerals may have been misclassified as asbestosis on death certificates. Local physicians were aware that Libby vermiculite workers were asbestos-exposed, and this exposure was sometimes mentioned on death certificates. Alternatively, these elevated SMRs may reflect the joint effect of lifelong ambient exposure to asbestos fibers from living in the nearby town combined with high intensity

fiber exposure at work. In any case, a clear relationship between increasing cumulative fiber exposure and increasing asbestosis mortality was observed.

*Limitations.* Retrospective exposure estimates were developed using a combination of government inspection reports, company compliance monitoring data (available from 1974), and professional judgment (Amandus et al. 1987; McDonald et al. 1986). These methods likely resulted in some measurement error. Assuming that any misclassification of exposure was not systematic, the most likely effect is bias toward the null (Checkoway et al. 2004; Mannetje et al. 2002). Further, there is insufficient sampling data to develop reliable exposure estimates for potential confounders for lung cancer such as workplace exposure to diesel particulate generated by mine machinery, or exposure to respirable crystalline silica dust.

Work history data were missing for 9% of workers who terminated before 1954. These workers were assumed to have worked about one year, based on the average employment duration among other workers who terminated between 1935 and 1953. Without the missing data, it is not possible to determine with certainty the impact of this approach, although the most likely effect is bias toward the null. Analysis deleting the 55 workers with missing termination dates did not result in appreciably different effect estimates than are reported here.

No minimum employment duration restriction was imposed in this analysis. Thus, overall effect estimates are somewhat lower than those reported in previous studies of this cohort (Table 5). Elevated SMRs were observed for the occupational respiratory diseases of interest, and among those employed less than a year. The assumption that biologically significant exposures occurred immediately at hire is realistic in this workplace (particularly in early years), where new hires were frequently assigned to the labor pool, and often rotated through the most heavily exposed jobs. Evaluation of job assignment patterns suggests that some job tasks (i.e., “bin

mucker”) were systematically assigned to transitory workers. Alternatively, these jobs may have been so onerous that newly hired workers quit after only a day or two.

*Strengths.* Strengths of this study include the long period of vital status follow-up—more than 65 years from 1935-2001. A minimum of 20 years since first exposure to the end of follow-up allowed sufficient latency for most cancers. Previously published analyses of data from this occupational cohort reported SMRs for nonmalignant respiratory disease (Amandus and Wheeler 1987; McDonald et al. 1986, 2004). The analysis reported here made use of comparison rates for asbestosis that have become widely available within the last ten years. The resulting effect estimates for asbestosis provide a more accurate description of the magnitude of asbestos-related disease among this cohort of workers.

*Conclusions.* Significant elevations in SMRs for asbestosis, lung cancer, and cancer of the pleura were observed among Libby vermiculite workers. Exposure-response relationships were noted for asbestosis and lung cancer. Significant excess mortality from nonmalignant respiratory disease was observed even among workers with cumulative exposure below 4.5 fibers/cc-years—i.e., a worker’s cumulative lifetime exposure, if exposed to asbestos fibers at the current OSHA standard of 0.1 fibers/cc over a 45-year working life. Since vermiculite from the Libby mine was used to make loose-fill attic insulation that remains in millions of homes, these findings highlight the need for better understanding and control of exposures that currently occur when homeowners or construction renovation workers (including plumbers, cable installers, electricians, telephone repair personnel, and insulators) disturb loose-fill attic insulation made with asbestos-contaminated vermiculite from Libby, Montana.

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Table 1. Demographic and Exposure Characteristics of 1,672 White Male Libby, Montana Vermiculite Workers Hired 1935-1981 by Cause of Death.

| Characteristic                                |                                      | Cause of death (multiple cause) |            |                          |                           |                         |
|---|--------------------------------------|---------------------------------|------------|--------------------------|---------------------------|-------------------------|
|   |                                      | All workers                     | All deaths | Lung cancer <sup>a</sup> | Mesothelioma <sup>a</sup> | Asbestosis <sup>a</sup> |
| Number of workers                             |                                      | 1,672                           | 767        | 99                       | 15                        | 40                      |
| Mean  | year of birth                        | 1930                            | 1917       | 1921                     | 1926                      | 1919                    |
|   | year of hire                         | 1959                            | 1952       | 1953                     | 1955                      | 1952                    |
|   | year of death                        | -                               | 1984       | 1986                     | 1989                      | 1988                    |
|   | age at hire                          | 29.7 <sup>b</sup>               | 34.5       | 32.1                     | 29.3                      | 32.4                    |
|   | age at death                         | -                               | 67.0       | 64.7                     | 63.6                      | 69.1                    |
|   | person-years of follow-up (no lag)   | 34.8 <sup>c</sup>               | 32.5       | 32.7                     | 34.3                      | 36.7                    |
| Mean employment duration (years) <sup>d</sup> |                                      | 4.0                             | 5.0        | 7.1                      | 10.8                      | 14.6                    |
|   | worked < 1 year (n=808) <sup>e</sup> | 0.25                            | 0.22       | 0.21                     | - <sup>e</sup>            | 0.55                    |
|   | worked ≥ 1 year (n=809) <sup>d</sup> | 7.7                             | 9.6        | 12.4                     | 11.6                      | 15.7                    |

|   |      |       |       |                |       |
|---|------|-------|-------|----------------|-------|
| Median cumulative exposure (fibers/cc-years) <sup>d</sup> | 8.7  | 21.0  | 28.2  | 145.1          | 228.4 |
| worked < 1 year (n=808)                                   | 2.6  | 5.8   | 6.1   | - <sup>e</sup> | 36.2  |
| worked ≥ 1 year (n=809) <sup>d</sup>                      | 43.4 | 135.1 | 124.5 | 146.4          | 244.8 |

Abbreviations: <, less than; ≥, greater than or equal to; n, number; fibers/cc, fibers per cubic centimeter of air.

<sup>a</sup> Includes any mention of condition on death certificate.

<sup>b</sup> Mean age at hire was significantly lower among study subjects who worked less than one year compared with those who worked longer (28.9 vs. 30.4;  $p=0.0016$ ).

<sup>c</sup> Totaling 58,186 person-years of follow-up without exposure lag.

<sup>d</sup> Fifty-five workers with unknown termination date were excluded when calculating mean duration of employment and median cumulative exposure.

<sup>e</sup> Among study subjects who worked less than a year, there were 42 lung cancer, 1 mesothelioma, and 3 asbestosis deaths.

Table 2. Standardized Mortality Ratios (SMR) for Selected Occupational Respiratory Diseases among 1,672 White Male Libby, Montana Vermiculite Workers by Underlying Cause of Death (1960-2001).<sup>a b</sup>

| Cause of death                                    | ICD-9 code                 | Obs | Exp    | SMR <sup>c</sup> | 95% CI       |
|---|----------------------------|-----|--------|------------------|--------------|
| All causes  |                            | 711 | 574.04 | 1.2              | 1.1, 1.3     |
| All cancer  | 140-239, 273.1, 273.3      | 202 | 147.58 | 1.4              | 1.2, 1.6     |
| Cancer of the trachea, bronchus, or lung          | 162                        | 89  | 52.53  | 1.7              | 1.4, 2.1     |
| Possible mesothelioma <sup>d</sup>                |                            |     |        |                  |              |
| Mesothelioma (1999-2001)                          | C45 (ICD-10) <sup>d</sup>  | 2   | 0.13   | 15.1             | 1.8, 54.4    |
| Cancer of the pleura                              | 163                        | 4   | 0.17   | 23.3             | 6.3, 59.5    |
| Cancer of unspecified sites                       | 160, 164-165, 187, 194-199 | 25  | 10.29  | 2.4              | 1.6, 3.6     |
| Connective tissue cancer (1940-2001) <sup>e</sup> | 171                        | 4   | 0.85   | 4.7              | 1.3, 12.0    |
| Nonmalignant respiratory disease                  | 460-519                    | 111 | 46.70  | 2.4              | 2.0, 2.9     |
| Asbestosis  | 501                        | 22  | 0.13   | 165.8            | 103.9, 251.1 |



|   |                                |    |       |     |          |
|---|--------------------------------|----|-------|-----|----------|
| Chronic obstructive pulmonary disease   | 490-492, 496                   | 53 | 23.81 | 2.2 | 1.7, 2.9 |
| Other nonmalignant respiratory diseases | 470-478, 494-495, 504, 506-519 | 19 | 7.09  | 2.7 | 1.6, 4.2 |

Abbreviations: Obs, observed number of deaths; Exp, expected number of deaths; SMR, standardized mortality ratio; %, percent  
CI, confidence interval; ICD-9, International Classification of Disease, 9<sup>th</sup> revision.

- <sup>a</sup> Analysis based on a 15-year exposure lag with 32,021 person-years of follow-up.
- <sup>b</sup> For clarity, only respiratory causes of death that were elevated compared to the U.S. white male population are included in the Table.
- <sup>c</sup> Comparison for SMR is deaths in U.S. population of same age category, race, and sex during same calendar time period.
- <sup>d</sup> Before 1999, when a unique ICD-10 code was assigned to mesothelioma, mesothelioma deaths were coded to other causes such as cancer of the pleura, or cancer of unspecified sites.
- <sup>e</sup> Due to small numbers resulting in unstable estimators, the SMR for connective tissue cancer is reported for deaths 1940-2001, with no exposure lag.

Table 3. Standardized Mortality Ratios (SMR) and Standardized Rate Ratios (SRR) for Selected Occupational Respiratory Diseases among 1,672 Libby, Montana Vermiculite Workers by Underlying Cause of Death (1960-2001) and Increasing Level of Cumulative Exposure. <sup>a</sup>

| Cause of death | Cumulative exposure<br>fibers/cc-years | Person<br>years | Observed<br># deaths | Expected<br># deaths | SMR <sup>b</sup> | Approximate<br>95% CI | SRR <sup>c</sup> | 95% CI          |
|----------------|--|-----------------|----------------------|----------------------|------------------|-----------------------|------------------|-----------------|
| Lung cancer    | 0.0 – 4.49                             | 10,400          | 19                   | 13.02                | 1.5              | 0.9, 2.3              | 1.0              | -- <sup>c</sup> |
|                | 4.5 – 22.9                             | 9,207           | 24                   | 14.62                | 1.6              | 1.1, 2.5              | 1.1              | 0.6, 2.0        |
|                | 23.0 – 99.9                            | 6,667           | 23                   | 12.95                | 1.8              | 1.1, 2.7              | 1.4              | 0.7, 2.7        |
|                | ≥ 100.0                                | 5,748           | 23                   | 11.93                | 1.9              | 1.2, 2.9              | 1.5 <sup>d</sup> | 0.8, 2.8        |
| Nonmalignant   | 0.0 – 4.49                             | 10,400          | 18                   | 10.20                | 1.8              | 1.1, 2.8              | 1.0              | -- <sup>c</sup> |
| Respiratory    | 4.5 – 19.9                             | 8,465           | 24                   | 12.20                | 2.0              | 1.3, 3.0              | 1.2              | 0.6, 2.3        |
| Disease        | 20.0 – 84.9                            | 6,725           | 26                   | 11.69                | 2.2              | 1.5, 3.3              | 1.5              | 0.8, 2.9        |
|                | 85.0 – 299.9                           | 4,357           | 20                   | 7.85                 | 2.6              | 1.6, 4.0              | 1.4              | 0.7, 2.7        |
|                | ≥ 300.0                                | 2,075           | 23                   | 4.76                 | 4.8              | 3.1, 7.3              | 2.8 <sup>e</sup> | 1.3, 5.7        |

|            |              |        |    |      |       |                |                   |                 |
|------------|--------------|--------|----|------|-------|----------------|-------------------|-----------------|
| Asbestosis | 0.0 – 49.9   | 22,341 | 3  | 0.08 | 37.3  | 7.5, 122.3     | 1.0               | -- <sup>c</sup> |
|            | 50.0 – 249.9 | 7,136  | 8  | 0.04 | 212.6 | 91.6, 433.2    | 7.3               | 1.9, 28.5       |
|            | ≥ 250.0      | 2,544  | 11 | 0.01 | 749.1 | 373.0, 1,367.8 | 25.3 <sup>f</sup> | 6.6, 96.3       |

Abbreviations: SMR, standardized mortality ratio; SRR, standardized rate ratio; #, number; CI, confidence interval; ≥, greater than or equal to.

<sup>a</sup> Analysis based on a 15-year exposure lag with 32,021 person-years of follow-up.

<sup>b</sup> Comparison for SMR is deaths in U.S. population of same age category, race, and sex during same calendar time period.

<sup>c</sup> Comparison for SRR is lowest exposure group, with SRR fixed at 1.0.

<sup>d</sup> Test for a linear trend in the slope of the SRRs, testing the  $H_0: \beta_1=0$  against the alternative hypothesis that cumulative fiber exposure predicts lung cancer mortality: slope= $5.479^{-06}$ ; standard error  $1.574^{-06}$ ; 95% CI for slope= $2.393^{-06}$ ,  $8.564^{-06}$ . As the 95% CI for the slope does not include 0, the hypothesis is rejected in favor of the alternative; chi-square 12.11,  $p<0.001$ .

<sup>e</sup> Test for a linear trend in the slope of the SRRs for nonmalignant respiratory disease mortality: slope= $5.004^{-06}$ ; standard error  $1.907^{-06}$ ; 95% CI for slope= $1.267^{-06}$ ,  $8.741^{-06}$ ; chi-square 6.89,  $p<0.01$ .

<sup>f</sup> Test for a linear trend in the slope of the SRRs for asbestosis mortality: slope= $5.479^{-06}$ ; standard error  $8.985^{-07}$ ; 95% CI for slope= $3.718^{-06}$ ,  $7.24^{-06}$ ; chi-square 37.18,  $p<0.001$ .

Table 4. Standardized Mortality Ratios (SMR) and Standardized Rate Ratios (SRR) for Selected Occupational Respiratory Diseases among 1,672 Libby, Montana Vermiculite Workers by Underlying Cause of Death (1960-2001) and Duration of Exposure. <sup>a</sup>

| Cause of death                         | Exposure duration     | Person<br>years | Obs | Exp   | SMR <sup>b</sup> | Approximate<br>95% CI | SRR <sup>c</sup>  | 95% CI          |
|--|-----------------------|-----------------|-----|-------|------------------|-----------------------|-------------------|-----------------|
| Lung cancer                            | < 1 year              | 16,742          | 41  | 26.29 | 1.6              | 1.1, 2.1              | 1.0               | -- <sup>c</sup> |
|  | 1 – 9.9 years         | 13,047          | 34  | 20.64 | 1.7              | 1.1, 2.3              | 1.1               | 0.7, 1.8        |
|  | ≥ 10 years            | 2,232           | 14  | 5.59  | 2.5              | 1.4, 4.3              | 1.8 <sup>d</sup>  | 0.9, 3.4        |
| Nonmalignant<br>Respiratory<br>Disease | < 1 year              | 16,742          | 48  | 22.83 | 2.1              | 1.6, 2.8              | 1.0               | -- <sup>c</sup> |
|  | 1 – 9.9 years         | 13,047          | 44  | 18.65 | 2.4              | 1.7, 3.2              | 1.2               | 0.8, 2.0        |
|  | ≥ 10 years            | 2,232           | 19  | 5.22  | 3.6              | 2.2, 5.7              | 1.4 <sup>e</sup>  | 0.8, 2.3        |
| Asbestosis                             | < 15 months           | 19,152          | 3   | 0.08  | 38.2             | 7.7, 125.1            | 1.0               | -- <sup>c</sup> |
|  | 15 months – 9.9 years | 10,637          | 9   | 0.04  | 236.0            | 107.8, 461.1          | 6.7               | 1.8, 24.9       |
|  | ≥ 10 years            | 2,232           | 10  | 0.02  | 628.6            | 301.1, 1,185.1        | 17.5 <sup>f</sup> | 4.7, 64.5       |

Abbreviations: Obs, observed number of deaths; Exp, expected number of deaths; SMR, standardized mortality ratio; CI, confidence interval; SRR, standardized rate ratio; <, less than;  $\geq$ , greater than or equal to.

- <sup>a</sup> Analysis based on a 15-year exposure lag with 32,021 person-years of follow-up.
- <sup>b</sup> Comparison for SMR is deaths in U.S. population of same age category, race, and sex during same calendar time period.
- <sup>c</sup> Comparison for SRR is lowest exposure group, with SRR fixed at 1.0.
- <sup>d</sup> Test for a linear trend in the slope of the SRRs, testing the  $H_0: \beta_1=0$  against the alternative hypothesis that duration of exposure predicts lung cancer mortality: slope=0.0302; standard error 0.0118; 95% CI for slope=0.007, 0.0534. As the 95% CI for the slope does not include 0, the hypothesis is rejected in favor of the alternative; chi-square 6.50,  $p<0.05$ .
- <sup>e</sup> Test for a linear trend in the slope of the SRRs for nonmalignant respiratory disease mortality: slope=0.0226; standard error=0.0073; 95% CI for slope=0.0083, 0.0368; chi-square 9.64,  $p<0.01$ .
- <sup>f</sup> Test for a linear trend in the slope of the SRRs for asbestosis mortality: slope=0.0431; standard error 0.0002; 95% CI for slope=0.0427, 0.0435; chi-square 4.65<sup>04</sup>,  $p<0.001$ .

Table 5. Cohort Characteristics and Standardized Mortality Ratios (SMR) from Several Studies of Libby, Montana Vermiculite Workers.

|                                  | McDonald et al. 1986 and 2004  |                     |          | Amandus and Wheeler 1987   |          | Sullivan 2006 (current report)  |              |  |              |
|----------------------------------|--|---------------------|----------|--|----------|---|--------------|--|--------------|
|                                  | (406 white men worked at least one year, hired before 1963) <sup>a</sup> |                     |          | (575 white men worked at least one year, hired before 1970) <sup>b</sup> |          | (1,672 white men worked at least one day, hired 1935-1981) <sup>c</sup> |              | (864 white men worked at least one year, hired 1935-1981) <sup>d</sup> |              |
|                                  | Deaths to mid-1983   | Deaths through 1998 |          | Deaths through 1981  |          | Deaths 1960-2001  |              | Deaths 1960-2001   |              |
|                                  | SMR <sup>e</sup>   | SMR                 | 95% CI   | SMR  | 95% CI   | SMR   | 95% CI       | SMR  | 95% CI       |
| All causes                       | 1.2 <sup>f</sup>   | 1.3                 | 1.1, 1.4 | 1.1  | 0.9, 1.3 | 1.2   | 1.1, 1.3     | 1.3  | 1.2, 1.4     |
| All cancer                       | - <sup>g</sup>   | -                   | -        | 1.3  | 0.9, 1.8 | 1.4   | 1.2, 1.6     | 1.6  | 1.3, 1.9     |
| Respiratory cancer               | 2.5  | 2.4                 | 1.7, 3.2 | -  | -        | 1.7   | 1.4, 2.1     | 2.0  | 1.5, 2.5     |
| Lung cancer                      | -  | -                   | -        | 2.2  | 1.4, 3.4 | 1.7   | 1.4, 2.1     | 1.9  | 1.4, 2.5     |
| Nonmalignant respiratory disease | 2.6  | 3.1                 | 2.3, 4.1 | 2.4  | 1.5, 3.8 | 2.4   | 2.0, 2.9     | 2.6  | 2.0, 3.4     |
| Asbestosis                       | -  | -                   | -        | -  | -        | 165.8   | 103.9, 251.1 | 307.0  | 189.9, 469.2 |

Abbreviations: SMR, standardized mortality ratio; %, percent; CI, confidence interval.

<sup>a</sup> Mean duration of employment 8.7 years, mean cumulative exposure estimate 144.6 fibers/cc-years, total person-years not provided in the publications.

<sup>b</sup> Mean duration of employment 8.3 years, mean cumulative exposure estimate 200 fibers/cc-years, 13,502 person-years of follow-up.

- <sup>c</sup> Mean duration of employment 4.0 years, mean cumulative exposure estimate 96.3 fibers/cc-years, person-years in 15-year lagged analysis 32,021. Fifty-five workers with date of termination unknown were excluded when calculating duration and estimating mean cumulative exposure.
- <sup>d</sup> Mean duration of employment 7.7 years, mean cumulative exposure estimate 184.0 fibers/cc-years, person years in 15-year lagged analysis 16,030. Fifty-five workers with date of termination unknown were excluded when calculating duration and estimating mean cumulative exposure.
- <sup>e</sup> Comparison for SMR is deaths among white men in U.S. population of same age category during the same calendar time period.
- <sup>f</sup> Paper does not present confidence limits.
- <sup>g</sup> Estimator not given in paper.